



Approved for use through 04/30/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form

*(Use as many sheets as necessary)*

Sheet

of

# I

**Complete if Known**

Application Number

10/540,209

Filing Date
-------------

05/24/2006

First Named Inventor

Burkard Otto HERBERT

Art Unit
----------

3651

Examiner Name

Leslie August Nicholson III

Attorney Docket Number

W1.2098 PCT-US
----------------

[illegible]

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>o</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	1	DE 668 877	12/10/1938	Hoch		

Examiner  
Signature

Date \_\_\_\_\_

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2*

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/540,209
				Filing Date	05/24/2006
				First Named Inventor	Burkard Otto HERBERT
				Art Unit	3651
				Examiner Name	Leslie August Nicholson III
Sheet	1	of	4	Attorney Docket Number	W1.2098 PCT-US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>
	1	NO AUTHOR LISTED, "Rotations - Tiefdruckmaschinen fur den Zeitschriftendruck", "Cerutti,		
	2	NO AUTHOR LISTED, "Rotations- Tiefdruckmaschinen fur Verpackungsmaterialien," Cerutti,		
	3	NO AUTHOR LISTED, "Tiefdruck, Tiefdruck-Rotationsmaschinen fur die Herstellung von Magazinen, Katalogen und Prospekten," Koenig & Bauer-Albert,		
	4	NO AUTHOR LISTED, "Albert-Frankenthal Seileinzugssystem Automatischer Bahneinzug vom Abroller bis zum Uberbau,"		
	5	NO AUTHOR LISTED, "Edizione 1990," Mario Cotta,		
	6	NO AUTHOR LISTED, ""Falzapparate Albert-Tiefdruckrotationen"," Albert-Frankenthal,		
	7	NO AUTHOR LISTED, ""Tiefdruck Rotationen"," Albert Frankenthal,		
	8	NO AUTHOR LISTED, ""TR6B-Anlage"; "TR6B-Anlagen"; "TR4 S-Anlage",		
	9	NO AUTHOR LISTED, ""Albert-Aladin Mikrocomputersystem fur Tiefdruck"," Albert-Frankenthal,		
	10	NO AUTHOR LISTED, ""Tiefdruckwerke Albert-Tiefdruckrotationen"," Albert-Frankenthal,		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/540,209
				Filing Date	05/24/2006
				First Named Inventor	Burkard Otto HERBERT
				Art Unit	3651
				Examiner Name	Leslie August Nicholson III
Sheet	2	of	4	Attorney Docket Number	W1.2098 PCT-US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	11	NO AUTHOR LISTED, ""Magazinuberbau System Rapid Albert-Tiefdruckrotationen", " Albert-Frankenthal,		
	12	NO AUTHOR LISTED, ""Albert Frankenthal Gravure Rotaries", " Albert Frankenthal,		
	13	NO AUTHOR LISTED, "Photo of Albert TR5S,"		
	14	NO AUTHOR LISTED, ""Double Reel Stands Albert Gravure Rotaries", " Albert-Frankenthal,		
	15	NO AUTHOR LISTED, ""Albert Proof Printing Gravure Rotaries", " Albert-Frankenthal,		
	16	NO AUTHOR LISTED, ""Automatic Rope-type Webbing System Albert Gravure Rotaries", " Albert-Frankenthal,		
	17	NO AUTHOR LISTED, ""Albert Gravure Printing", " Albert-Frankenthal,		
	18	NO AUTHOR LISTED, ""Press Configurations - Albert Gravure Rotaries", " Albert-Frankenthal,		
	19	NO AUTHOR LISTED, ""Albert Aladin - Micro-computer System for Gravure Printing", " Albert-Frankenthal,		
	20	NO AUTHOR LISTED, ""Gravure Printing Units Albert Gravure Rotaries", " Albert-Frankenthal,		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 3 of 4

**Complete if Known**

Application Number	10/540,209
Filing Date	05/24/2006
First Named Inventor	Burkard Otto HERBERT
Art Unit	3651
Examiner Name	Leslie August Nicholson III
Attorney Docket Number	W1.2098 PCT-US

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	21	NO AUTHOR LISTED, ""Magazine Super-structure, "Rapid" system Albert Gravure Rotaries", " Albert-Frankenthal,	
	22	NO AUTHOR LISTED, ""Folder-Albert Gravure Rotaries", " Albert-Frankenthal,	
	23	NO AUTHOR LISTED, ""Gravure Printing, Gravure Printing Machines for the production of magazines, catalogues and brochures", " Koenig & Bauer-Albert,	
	24	NO AUTHOR LISTED, ""Gravure Rotary TR 7 B/308 Magazine production from start to finish", "	
	25	NO AUTHOR LISTED, ""Installation Planning Perfection in detail, Efficient use of Gravure Rotaries in line with environmental protection", "	
	26	NO AUTHOR LISTED, ""Reel Stand Model DRE with electric drive", "	
	27	NO AUTHOR LISTED, ""Robotic forme cylinder exchange technology, Albert-Frankenthal Gravure Technology, Always a step ahead", "	
	28	NO AUTHOR LISTED, ""Harmonic-Drive draw roller drive Stable with extremely fine regulation", "	
	29	NO AUTHOR LISTED, ""Double-width folders-up to 6 deliveries, Folder technology, HIGH TECH from Albert-Frankenthal", "	
	30	NO AUTHOR LISTED, ""ALADIN production data aquisition", "	

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Substitute for form 1449B/PTO

*(Use as many sheets as necessary)*

Application Number	10/540,209
Filing Date	05/24/2006
First Named Inventor	Burkard Otto HERBERT
Art Unit	3651
Examiner Name	Leslie August Nicholson III
Attorney Docket Number	W1.2098 PCT-US

Sheet	4	of	4
-------	---	----	---

[illegible]Date  
Considered

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*